



DATE: March 31, 2005 SHEET 1 of 1

Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 7128.US.O1	SERIAL NO. 10/666,884
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT(S) <u>Basha, et al.</u>	
(Use several sheets if necessary)		FILING DATE September 19, 2003	GROUP 1625
(37 CFR 1.98 (b))			

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	INVENTOR	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION YES NO
<u>SN</u>	B1	02/02564	10.01.2002	WO			
	B2	02/096911	05.12.2002	WO			
	B3	02/069901	12.09.2002	WO			
	B4	03/094831	20.11.2003	WO			
<u>SN</u>	B5	2004009589	29.01.2004	WO			

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

EXAMINER In Group DATE CONSIDERED 4/29/08

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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DATE: September 19, 2003

SHEET 1 of 2

Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. 7128.US.O1	SERIAL NO. 10/666884 (not yet assigned)		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				APPLICANT(S) Basha, et al.			
				FILING DATE September 19, 2003	GROUP 1625 (not yet assigned)		
(37 CFR 1.98 (b))							

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	INVENTOR	CLASS	SUB CLASS	FILING DATE
SN	A1	2002/0013309		Miller et al.	514	214.03	05/24/2001
SN	A2	2002/0019388		Schrimpf et al.	514	213.01	04/12/2001

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION YES NO
SN	B1	00/34284 ✓	15.06.2000	WO			
	B2	00/44755 ✓	03.08.2000	WO			
	B3	00/71534 ✓	30.11.2000	WO			
	B4	01/44243 ✓	21.06.2001	WO			
	B5	01/81347 ✓	01.11.2001	WO			
	B6	01/90109 ✓	29.11.2001	WO			
	B7	02/04446 ✓	17.01.2002	WO			
	B8	02/44183 ✓	06.06.2002	WO			
SN	B9	03/044020 ✓	30.05.2003	WO			

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

SN	C1	Adler et al, "Schizophrenia, sensory gating, and nicotinic receptors," Schizophrenia Bulletin 24(2):189-202 (1998)	✓
	C2	Cordero-Erausquin et al, "Tonic nicotinic modulation of serotonergic transmission in the spinal cord," PNAS 98(5):2803-2807 (2001)	✓
	C3	Friedman et al., "A double blind placebo controlled trial of donepezil adjunctive treatment to risperidone for the cognitive impairment of schizophrenia," Biol. Psychiatry 51:349-357 (2002)	✓
	C4	Heeschen et al., "Nicotine stimulates angiogenesis and promotes tumor growth and atherosclerosis," Nature Medicine 7(7):833-839 (2001)	✓
SN	C5	Heeschen et al., "A novel angiogenic pathway mediated by non-neuronal nicotinic acetylcholine receptors," Journal of Clinical Investigation 110(4):527-536 (2002)	✓

Sn - strong 8/19/03

<i>SJS</i>	C6	Jonnala et al., "Relationship between the increased cell surface $\alpha 7$ nicotinic receptor expression and neuroprotection induced by several nicotinic receptor agonists," <i>Journal of Neuroscience Research</i> 66:565-572 (2001)	✓
	C7	Kihara et al., " $\alpha 7$ Nicotinic receptor transduces signals to phosphatidylinositol 3-kinase to block A β -amyloid-induced neurotoxicity," <i>Journal of Biological Chemistry</i> 276(17):13541-13546 (2001)	✓
	C8	Leonard et al., "Smoking and schizophrenia: abnormal nicotinic receptor expression," <i>European Journal of Pharmacology</i> 393:237-242 (2000)	✓
	C9	Levin, "Nicotinic receptor subtypes and cognitive function," <i>J. Neurobiol.</i> 53:633-640 (2002)	✓
	C10	Liu et al., " β -Amyloid peptide blocks the response of $\alpha 7$ -containing nicotinic receptors on hippocampal neurons," <i>PNAS</i> 98(8):4734-4739 (2001)	✓
	C11	Rowley et al., "Current and novel approaches to the drug treatment of schizophrenia," <i>Journal of Medicinal Chemistry</i> 44(4):477-501 (2001)	✓
	C12	Shimohama et al., "Nicotinic $\alpha 7$ receptors protect against glutamate neurotoxicity and neuronal ischemic damage," <i>Brain Research</i> 779:359-363 (1998)	✓
	C13	Son et al., "Evidence suggesting that the mouse sperm acrosome reaction initiated by the zona pellucida involves an $\alpha 7$ nicotinic acetylcholine receptor," <i>Biology of Reproduction</i> 68:1348-1353 (2003)	✓
	C14	Stevens et al., "Selective α -nicotinic agonists normalize inhibition of auditory response in DBA mice," <i>Psychopharmacology</i> 136:320-327 (1998)	✓
	C15	Tomi et al., "A versatile cycloaddition for the generation of pyrrolidine derivatives via C-N-C 1,3-dipoles," <i>Chemistry Letters</i> 747-748 (1996)	✓
<i>SJS</i>	C16	Wang et al., "Nicotinic acetylcholine receptor $\alpha 7$ subunit is an essential regulator of inflammation," <i>Nature</i> 421:384-388 (2003)	✓

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S. Treacy

DATE CONSIDERED

4/29/05

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